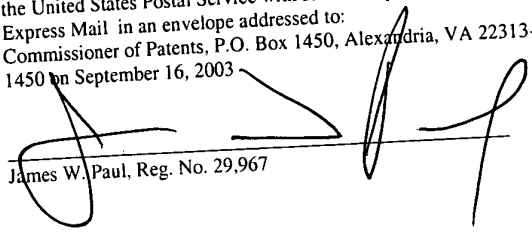


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James W. Paul, Reg. No. 29,967

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

DAVID A. FERRERA, ET AL.

Serial No.

Filed: Concurrently Herewith

For: THREE DIMENSIONAL, LOW  
FRICTION VASOOCCLUSIVE COIL,  
AND METHOD OF MANUFACTURE

Prior Examiner: Julian W. Woo

Prior Group Art Unit: 3731

Client ID/Matter No. MICRU:65498

Date: September 16, 2003

EXPRESS MAIL # EV 327059379 US

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR §1.97(b)(3) and §1.98(d), enclosed are copies of the Information Disclosure Statement (18 pages), Supplemental Information Disclosure Statement (6 pages) and PTO-892 as filed in the prior application, U.S. Serial No. 09/590,794. The references listed were previously submitted or were cited in prior application USSN 09/590,794. It is believed that these references will be helpful during

examination of the application, and it is believed that no fee is due in connection with this paper. However, should a fee be required, the Commissioner is authorized to charge the fee due in connection with this matter to Deposit Account No. 06-2425. A duplicate copy of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By: 

James W. Paul

Registration No. 29,967

JWP:sn

Enc:

Howard Hughes Center  
6060 Center Drive, Tenth Floor  
Los Angeles, CA 90045  
Telephone: (310) 824-5555  
Facsimile: (310) 824-9696  
Customer No. 24201

25590.1

*file*

Please acknowledge receipt of the attached Information Disclosure Statement (in duplicate); Nine (9) pages of Form PTO/SB/08A; and a copy of the newly cited reference cited thereon (1 Reference); by affixing hereon the Patent Office date stamp and returning this card to our office.

In re application of: David A. Ferrera et al.

Entitled: THREE DIMENSIONAL, LOW FRICTION VASOOCCLUSIVE COIL, AND METHOD OF MANUFACTURE

Appln. No. 09/590,794

Filed: June 8, 2000

Date Mailed: April 3, 2001

Client ID/Dkt. No. MICRU-54769

DGP:mv  
201859

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201859



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID A. FERRERA, et al.,

Application No. 09/590,794

Filed: June 8, 2000

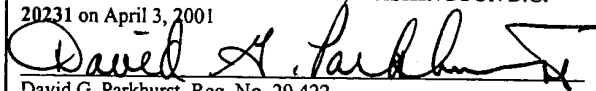
For: THREE DIMENSIONAL, LOW  
FRICTION VASOOCCLUSIVE  
COIL, AND METHOD OF  
MANUFACTURE

Date: April 3, 2001

Group Art Unit: 3731

CERTIFICATE OF MAILING

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David G. Parkhurst, Reg. No. 29,422  
Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT

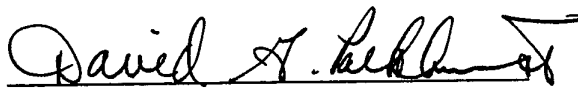
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §1.97(b)(3), and §1.98(d), enclosed is Form PTO/SB/08A,  
listing art which may be material to patentability of the invention previously cited or submitted  
in prior Application U.S. Serial No. 09/089,328 filed June 2, 1998 and prior Application U.S.  
Serial No. 09/140,495 filed August 27, 1998. A new reference entitled "Retrievable Gianturco-  
Coil Introducer," is also enclosed, and is also listed.

Applicant believes that this Information Disclosure Statement has been filed before the mailing date of the first office action on the merits in accordance with 37 CFR §1.97(b)(3) and, therefore, no fee is due. However, if a fee is in fact due for this Information Disclosure Statement, the Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,  
FULWIDER PATTON LEE & UTECHT, LLP

By   
David G. Parkhurst, Reg. No. 29,422

JWP:mv

Enc.: Form PTO-SB-08A

Copy of newly cited reference "Retrievable Gianturco-Coil Introducer", by Jeffrey Hawkins, Ronald G. Quisling, MD, J. Parker Mickle, MD and Irvin F. Hawkins, MD, from Departments of Radiology and Neurosurgery, University of Florida Medical Center

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE  STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/590,794
				Filing Date	June 8, 2000
				First Named Inventor	David A. Ferrera
				Group Art Unit	3731
				Examiner Name	
Sheet	1	of	9	Attorney Docket Number	MICRU:54769

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document No.		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if Known)			
		3,868,956		ALFIDI ET AL.	03-04-1975	
		4,494,531		GIANTURCO	01-22-1985	
		4,512,338		BALKO ET AL.	04-23-1985	
		4,748,986		MORRISON ET AL	06-07-1988	
		4,994,069		RITCHART ET AL.	02-19-1991	
		5,026,377		BURTON ET AL.	06-25-1991	
		5,108,407		GEREMIA ET AL.	04-28-1992	
		5,122,136		GUGLIELMI ET AL.	06-16-1992	
		5,217,484		MARKS	06-08-1993	
		5,228,453		SEPETKA	07-20-1993	
		5,250,071		PALERMO	10-05-1993	
		5,336,205		ZENZEN ET AL.	08-09-1994	
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		5,312,415		PALERMO	05-17-1994	
		5,639,277		MARIANT ET AL.	06-17-1997	
		5,649,949		WALLACE ET AL.	07-22-1997	
		5,222,969		GILLIS	06-29-1993	
		5,607,445		SUMMERS	03-04-1997	
		5,667,522		FLOMENBLIT ET AL.	09-16-1997	
		5,514,176		BOSLEY, JR.	05-07-1996	
		5,582,619		KEN	12-10-1996	
		5,562,641		FLOMENBLIT ET AL.	10-08-1996	
		4,922,924		GAMBALE, ET AL.	05-08-1990	
		4,907,336		GIANTURCO	03-13-1990	
		5,025,799		WILSON	06-25-1991	
		4,832,055		PALESTRANT	05-23-1989	

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE  STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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		Number	Kind Code (if Known)			
		5,549,624		MIRIGIAN ET AL.	08-27-1996	
		5,226,911		CHEE ET AL.	07-13-1993	
		5,690,666		BERENSTEIN ET AL.	11-25-1997	
		5,522,836		PALERMO	06-04-1996	
		5,569,245		GUGLIELMI ET AL.	10-29-1996	
		4,768,507		FISCHELL ET AL.	09-06-1988	
		4,795,458		REGAN	01-03-1989	
		4,800,882		GIANTURCO	01-31-1989	
		4,813,925		ANDERSON, JR. ET AL.	03-21-1989	
		4,856,516		HILLSTEAD	08-15-1989	
		4,957,479		ROEMER	09-18-1990	
		4,990,155		WILKOFF	02-05-1991	
		5,041,084		DeVRIES ET AL.	08-20-1991	
		5,133,732		WIKTOR	07-28-1992	
		5,141,502		MACALUSO, JR.	08-25-1992	
		5,147,370		McNAMARA ET AL.	09-15-1992	
		5,160,341		BRENNEMAN ET AL.	11-03-1992	
		5,176,625		BRISSON	01-05-1993	
		5,183,085		TIMMERMANS	02-02-1993	
		5,690,643		WIJAY	11-25-1997	
		5,624,461		MARIANT	04-29-1997	
		1,667,730		J. BIRCHARD GREEN	05-01-1928	
		4,205,680		MARSHALL	06-03-1980	
		4,531,933		NORTON ET AL.	07-30-1985	
		5,637,113		TARTAGLIA ET AL.	06-10-1997	

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE  STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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		Number	Kind Code (if Known)			
		5,643,254		SCHELDROP ET AL.	07-01-1997	
		5,676,697		McDONALD	10-14-1997	
		5,234,456		SILVESTRI	08-10-1993	
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		2,078,182		A.E. MacFARLAND	04-20-1937	
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		4,718,907		KARWOSKI ET AL.	01-12-1988	
		4,830,003		WOLFF ET AL.	05-16-1989	
		5,071,407		TERMIN ET AL.	12-10-1991	
		4,638,803		RAND	01-27-1987	
		4,820,298		LEVEEN ET AL.	04-11-1989	
		4,850,960		GRAYZEL	07-25-1989	
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		5,251,640		OSBORNE	10-12-1993	
		4,954,126		WALLSTEN	09-04-1990	

Examiner Signature		Date Considered	
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				First Named Inventor	David A. Ferrera
				Group Art Unit	3731
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		Number	Kind Code (if Known)			
		4,553,545		MAASS ET AL.	11-19-1985	
		5,015,253		MACGREGOR	05-14-1991	
		5,019,090		PINCHUK	05-28-1991	
		5,314,472		FONTAINE	05-24-1994	
		5,304,195		TWYFORD, JR. ET AL.	04-19-1994	
		5,522,822		PHELPS ET AL.	06-04-1996	
		5,334,210		GIAN TURCO	08-02-1994	
		5,733,329		WALLACE ET AL.	03-31-1998	
		5,749,891		KEN ET AL.	05-12-1998	
		5,350,398		PAVCHNIK ET AL.	09-27-1994	
		5,423,849		ENGELSON ET AL.	06-13-1995	
		5,454,795		SAMSON	10-03-1995	
		5,562,698		PARKER	10-08-1996	
		5,527,354		FONTAINE, ET AL.	06-18-1996	
		5,645,558		HORTON	07-08-1997	
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		5,370,683		FONTAINE	12-06-1994	
		5,527,338		PURDY	06-18-1996	
		5,645,082		SUNG, ET AL.	07-08-1997	
		5,669,931		KUPIECKI ET AL.	09-23-1997	
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		5,536,274		NEUSS	07-16-1996	
		5,685,322		SUNG ET AL.	11-11-1997	

Examiner Signature		Date Considered	
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE  STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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				Filing Date	June 8, 2000
				First Named Inventor	David A. Ferrera, et al.
				Group Art Unit	3731
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		Number	Kind Code (if Known)			
		5,725,552		KOTULA ET AL.	03-10-1998	
		5,766,219		HORTON	06-16-1998	
		5,700,258		MIRIGIAN ET AL.	12-23-1997	
		5,718,711		BERENSTEIN ET AL.	02-17-1998	
		5,707,389		LOUW ET AL.	01-13-1998	
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		5,843,118		SEPETKA ET AL.	12-01-1998	
		4,957,501		LAHILLE ET AL.	09-18-1990	
		5,064,435		PORTER	11-12-1991	
		5,104,404		WOLFF	04-14-1992	
		5,133,731		BUTLER ET AL.	07-28-1992	
		5,151,105		KWAN-GETT	09-29-1992	
		5,176,661		EVARD ET AL.	01-05-1993	
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		5,203,772		HAMMERSLAG ET AL.	04-20-1993	
		5,690,671		McGURK ET AL.	11-25-1997	
		5,256,146		ENSMINGER ET AL.	10-26-1993	
		5,383,887		NADAL	01-24-1995	
		5,395,390		SIMON ET AL.	03-07-1995	
		5,405,377		CRAGG	04-11-1995	

Examiner Signature		Considered Date	
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
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		Number	Kind Code (if Known)			

		5,749,894		ENGELSON	05-12-1998	
		5,261,916		ENGELSON	11-16-1993	
		5,725,546		SAMSON	03-10-1998	
		5,423,829		PHAM ET AL.	06-13-1995	
		5,238,004		SAHATJIAN ET AL.	08-24-1993	

Examiner Signature		Date Considered	
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				Application Number	09/590,794
				Filing Date	June 8, 2000
				First Named Inventor	David A. Ferrera, et al.
				Group Art Unit	3731
Examiner Name					
Sheet	7	of	9	Attorney Docket Number	MICRU-54769

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if Known)				
		DE	4102550 A1		BOCKENHEIMER...	08-08-1991		
		UK	2 066 839 A		VYSOKA SKOLA CHEMICKO- TECHNOLOGICKA	07-15-1981		
		EP	0 183 372 A1		RAYCHEM CORP.	06-04-1986		
		EP	0 382014 A1		ADVANCED CARDIOVASCULAR SYSTEMS, INC.	08-16-1990		
		FR	592.182			07-28-1925		
		WIPO	WO 94/16629		PCT	04-08-1994		
		EP	0 518 704 A1		SCIMED LIFE SYSTEMS, INC.	12-16-1992		
		WIPO	WO 92/14408		PCT	03-09-92		
		EP	0 820 726 A2		Target Therapeutics, Inc.	01-28-1998		
		EP	0 747 014 A1		Target Therapeutics, Inc.	12-11-1996		
		EP	0 743 047 A3		Medical University of South Carolina	03-26-1997		
		WIPO	WO 99/29260		Micrus Corporation	06-17-1999		
		EP	0 747 013A1		Target Therapeutics, Inc.	12-11-1996		
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		WIPO	WO97/31672		Braun Medical	09-04-1997		
		WIPO	WO 94/09705			05-11-1994		
		WIPO	WO 94/10936			05-26-1994		
		DE	3203410 A1			11-25-1982		
		WIPO	WO98/09570		William Cook Europe	03-1-1998		
		WIPO	WO 97/48351		MEDICAL UNIVERSITY OF SOUTH CAROLINA	12-24-1997		

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		Examiner Name			
Sheet	8	of	9	Attorney Docket Number	MICRU-54769

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
		CHRISTOS A. ATHANASOULIS, M.D., THE NEW ENGLAND JOURNAL OF MEDICINE, MAY 15, 1980, "THERAPEUTIC APPLICATIONS OF ANGIOGRAPHY" PAGES 1117-1125 (1 OF 2)
		CHRISTOS A. ATHANASOULIS, M.D., THE NEW ENGLAND JOURNAL OF MEDICINE, MAY 22, 1980, "THERAPEUTIC APPLICATIONS OF ANGIOGRAPHY" PAGES 1174-1179 (2 OF 2)
		ALEXBERENSTEIN, M.D. AND IRVIN I. KRICHEFF, M.D., "CATHETER AND MATERIAL SELECTION FOR TRANSARTERIAL EMBOLIZATION: TECHNICAL CONSIDERATIONS" RADIOLOGY, SEPTEMBER 1979; PAGES 631-639
		O.A. BATTISTA, ET AL. JOURNAL OF APPLIED POLYMER SCIENCE 1967 "COLLOIDAL MACROMOLECULAR PHENOMENA. PART II. NOVEL MICROCRYSTALS OF POLYMERS" PAGES 481-498
		SADEK K. HILAL, M.D. ET AL. JOURNAL OF NEUROLOGICAL SURGERY "THERAPEUTIC PERCUTANEOUS EMBOLIZATION FOR EXTRA-AXIAL VASCULAR LESIONS OF THE HEAD, NECK AND SPINE" SEPTEMBER, 1975; PAGES 275-287
		STEPHEN L. KAUFMAN, M.D. ET AL. INVESTIGATIVE RADIOLOGY, MAY-JUNE 1978, "TRANSCATHETER EMBOLIZATION WITH MICROFIBRILLAR COLLAGEN IN SWINE"; PAGES 200-204
		ASHOK J. KUMAR, ET AL., JOURNAL OF NEURORADIOLOGY (1982) "PREOPERATIVE EMBOLIZATION OF HYPERVASCULAR HEAD AND NECK NEOPLASMS USING MICROFIBRILLAR COLLAGEN", PAGES 163 -168
		RICHARD E. LATCHAW, M.D. ET AL., RADIOLOGY (1979) "POLYVINYL FOAM EMBOLIZATION OF VASCULAR AND NEOPLASTIC LESIONS OF THE HEAD, NECK AND SPINE" PAGES 669-679
		STEWART R. REUTER, M.D. ET AL. AMERICAN JOURNAL OF RADIOLOGY, SEPTEMBER 1975, "SELECTIVE ARTERIAL EMBOLIZATION FOR CONTROL OF MASSIVE UPPER GASTROINTESTINAL BLEEDING" PAGES 119-126
		GLENN H. ROBERSON, ET AL., AMERICAN JOURNAL OF RADIOLOGY, OCTOBER 1979, "THERAPEUTIC EMBOLIZATION OF JUVENILE ANGIOFIBROMA" PAGES 657-663
		SIDNEY WALLACE, M.D. ET AL., CANCER, OCTOBER 1979, "ARTERIAL OCCLUSION OF PELVIC BONE TUMORS"; PAGES 322-325 & 661-663

Examiner Signature		Date Considered	
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				First Named Inventor	David A. Ferrera, et al.
				Group Art Unit	3731
				Examiner Name	
Sheet	9	of	9	Attorney Docket Number	MICRU-54769

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
		"MECHANICAL DEVICES FOR ARTERIAL OCCLUSION" BY C. GIANTURCO, M.D., ET AL., JULY 1975, PAGES 428 - 435	
		"THERAPEUTIC VASCULAR OCCLUSION UTILIZING STEEL COIL TECHNIQUE: CLINICAL APPLICATIONS" BY SIDNEY WALLACE, ET AL., AM J. ROENTGENOL (1976); PAGES 381-387	
		"TRANSCATHETER INTRAVASCULAR COIL OCCLUSION OF EXPERIMENTAL ARTERIOVENOUS FISTULAS", BY JAMES H. ANDERSON, ET AL., AM. J. ROENTGENOL, NOVEMBER 1977, PAGES 795 - 798	
		" 'MINI' GIANTURCO STAINLESS STEEL COILS FOR TRANSCATHETER VASCULAR OCCLUSION" BY JAMES H. ANDERSON, ET AL., FROM THE DEPARTMENT OF DIAGNOSTIC RADIOLOGY AT THE UNIVERSITY OF TEXAS SYSTEM CANCER CENTER, AUGUST 1978, PAGES 301 - 303	
		"A NEW IMPROVED COIL FOR TAPERED-TIP CATHETER FOR ARTERIAL OCCLUSION" BY VINCENT P. CHUANG, M.D., ET AL., MAY 1980, PAGES 507 - 509	
		"RETRIEVABLE GIANTURCO-COIL INTRODUCER" BY JEFFREY HAWKINS, RONALD G. QUISLING, MD, J. PARKER MICKLE, MD, IRVIN F. HAWKINS, MD, FROM THE DEPARTMENT OF RADIOLOGY AT THE UNIVERSITY OF FLORIDA MEDICAL CENTER AND HAWK PROTOTYPE EQUIPMENT 1986	
		MC GRAW HILL ENCYCLOPEDIA OF ENGINEERING, SECOND EDITION, "SHAPE MEMORY ALLOYS," pp. 1095-1096.	

Examiner Signature		Date Considered	
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